

SEP 29 2006

## STROOCK

## FACSIMILE

DATE

September 29, 2006

TO	COMPANY NAME	FAX NO.	PHONE NO.
Examiner Son T. Nguyen Group Art Unit 3643	Mail Stop RCE U.S. Patent and Trademark Office	571-273-8300	571-272-6889

TOTAL NO. OF PAGES	13 (including cover sheet)
FROM	Steven B. Pokotilow, Esq. Reg. No. 26,405
SENDER'S FAX NO.	212-806-6006
SENDER'S PHONE NO.	212-806-6663
SENDER'S EMAIL	spokotilow@stroock.com
ROOM NO.	3858
ATTORNEY NO.	1567
CLIENT/MATTER NAME	U.S. Patent Appln. No. 09/173,134
CLIENT/MATTER NO.	364106/0176

## MESSAGE

Transmitted herewith please find:

1. Certificate of Transmission by Facsimile (37 CFR 1.8) (1 pg.);
2. Request for Continued Examination (RCE) Transmittal (1 pg.);
3. Fee Transmittal for FY 2005 (1 page); and
4. Amendment (9 pgs.)

## CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212-806-6102.

STROOCK & STROOCK & LAVAN LLP • NEW YORK • LOS ANGELES • MIAMI  
180 MAIDEN LANE, NEW YORK, NY 10038-4982 TEL 212.806.5400 FAX 212.806.6006 WWW.STROOCK.COM

RECEIVED  
CENTRAL FAX CENTER

SEP 29 2006

Docket No.  
364106/0176  
SBP:JL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: George S. Gabriel, et al.

Group Art Unit: 3643

Application No.: 09/173,134

Examiner: Son T. Nguyen

Filed: October 15, 1998

For: MULTIPURPOSE CAGE AND RACK SYSTEM

Date: September 29, 2006

**CERTIFICATE OF TRANSMISSION**  
**BY FACSIMILE (37 C.F.R. § 1.8)**

**Mall Stop RCE**  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Request for Continued Examination (RCE) Transmittal (1 pg.); Fee Transmittal for FY 2005 (1 page); and Amendment (9 pgs.)**

is being transmitted by facsimile to the United States Patent and Trademark Office in accordance with 37 C.F.R. § 1.8 on the following date: **September 29, 2006**

**Jeong Lee**

(Typed Or Printed Name Of Person Signing this Certificate)

(Signature)

SSL-DOCS1 1732604v1

**RECEIVED**  
**CENTRAL FAX CENTER**

SEP 29 2006 (07-08)

Approved for use through 01/31/2007 OMB 0551-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4812). <b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Complete If Known</b> Application Number <b>09/173,134</b> Filing Date <b>October 15, 1998</b> First Named Inventor <b>George S. Gabriel</b> Examiner Name <b>Son T. Nguyen</b> Art Unit <b>3643</b> Attorney Docket No. <b>364106/0176</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT (\$)</b> <b>200.00</b>			

**METHOD OF PAYMENT (check all that apply)**

☐ Check  
 ☐ Credit Card  
 ☐ Money Order  
 ☐ None  
 ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account  
 Deposit Account Number: **19-4709**  
 Deposit Account Name: **Stroock & Stroock & Lavan LLP**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below  
 ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s)  
 ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

**Total Claims**    **Extra Claims**    **Fee (\$)**    **Fee Paid (\$)**  
 - 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_  
 HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**    **Extra Claims**    **Fee (\$)**    **Fee Paid (\$)**  
 - 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = **200.00**  
 HP = highest number of independent claims paid for, if greater than 3.

**Multiple Dependent Claims**  
**Fee (\$)**    **Fee Paid (\$)**  
 \_\_\_\_\_    \_\_\_\_\_

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____	_____	_____

- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)    **Fees Paid (\$)** \_\_\_\_\_

Other (e.g., late filing surcharge): \_\_\_\_\_

<b>SUBMITTED BY</b>		<b>Registration No.</b> <b>26,405</b>	<b>Telephone (212) 806-5400</b>
<b>Signature</b>		<b>(Attorney/Agent)</b>	
<b>Name (Print/Type)</b>	<b>Steven B. Pokotilov</b>	<b>Date</b> <b>September 29, 2006</b>	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SSL-DOCS1 1732828v1